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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 36]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. _____

Prior application information: _____

Examiner _____ Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been specifically referred to from the submitted application parts.

18. CORRESPONDENCE ADDRESS

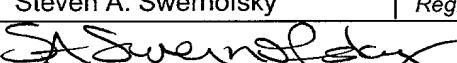
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- Utility Patent Application Transmittal Form;
- Specification (23) pages;
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1 This application is submitted in the name of the following inventor(s):
2

3	<u>Inventor</u>	<u>Citizenship</u>	<u>Residence City and State</u>
4			
5	Andy KAHN	United States	Santa Clara, California
6	Rajesh SUNDARAM	India	Mountain View, California
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8	Steven R. KLEIMAN	United States	Los Altos, California
9			

10 The assignee is Network Appliance, Inc., a California corporation having an
11 office at 495 East Java Drive, Sunnyvale, CA 94089.

12	<u>Title of the Invention</u>
13	
14	
15	Block-appended Checksums

1 Background of the Invention
2
34 *1. Field of Invention*
5
67 This invention relates to data storage systems.
8
910 *2. Related Art*
11
1213 Many computer applications need to store and retrieve information.
14
1516 Information can be stored on hard disks, floppy disks, CD-ROMs, semiconductor RAM
17 memory and similar storage devices. Many of these storage systems are susceptible to data
18 loss of various forms including disk failures. A solution to the problem of disk failure
19 involves use of a RAID (redundant array of independent disks) system. One style of RAID
20 systems uses multiple hard drives to store parity data generated from the data drives, either
21 on a separate drive (known as the parity disk) or spread out among the multiple drives. The
22 use of multiple hard drives makes it possible to replace faulty hard drives without going off-
23 line; data contained on a drive can be rebuilt using the other data disks and the redundant
24 data contained in the parity disk. If a hard drive fails, a new hard drive can be inserted by
25 “hot-swapping” drives while on-line. The RAID system can rebuild the data on the new disk
26 using the remaining data disks and the redundant data of the parity disk. The performance of

1 a RAID system is improved by disk striping, which interleaves bytes or groups of bytes
2 across multiple drives, so more than one disk is reading and writing simultaneously. Files
3 are broken into chunks of data known as file blocks and these file blocks are stored in one or
4 more physical sectors of one or more hard disks. Each file block is a given size such as
5 4,096-bytes that takes up 8 sectors.

6

7 A first known problem with storage devices is that they are susceptible to data
8 corruption. This data corruption includes bit flips, misdirected I/O, lost I/O, sector shifts,
9 and block shifts. One style of RAID uses parity data to determine whether there has been
10 corruption of some data included in a disk stripe. Parity is checked by comparing the parity
11 value stored on disk against the parity values computed in memory. Parity is computed by
12 taking the exclusive-OR (henceforth "XOR") of the blocks in the data stripe. If the stored
13 and computed values of parity are not the same, the data may be corrupt. If a single disk
14 block is incorrect, the RAID system includes enough data to restore the corrupted block by
15 recalculating the corrupted data using the parity data and the remaining data in the data
16 stripe. However, such RAID systems can not determine which disk includes the corrupt data
17 from parity values alone. Although parity data is useful in determining whether corruption
18 has occurred, it does not include enough information to restore the corrupted data.
19 Moreover, it is unclear which data has been corrupted.

20

1 Checksums are another form of redundant data that can be written to individual
2 disks. The combination of parity bits across the disks along with checksums and their
3 associated information may include enough information so that the corrupted data can be
4 restored in RAID and other redundant systems.

5

6 A second known problem involves using a sector checksum for each sector of
7 data. A sector checksum is generated for each collection of data that can fill a sector. The
8 data is stored in a disk sector, along with the associated sector checksum. Some known
9 systems include reformatting a collection of hard disks from standard sector sizes such as
10 512-byte sectors to include sector checksums in each sector such as reformatting to 520-byte
11 sectors. Data corruption in the disk sector can then be detected by using the sector checksum
12 because the stored checksum would not match a computed checksum. However, data
13 corruption such as sector slides, misdirected reads and writes, and lost sectors would not be
14 detected at the disk sector level. For this type of corruption, a checksum computed from the
15 sector data would match the stored checksum.

16

17 A third known problem is storing checksums in reserved locations separate
18 from the associated data. A separate read or write operation of the checksum is required for
19 every read or write operation of the associated data. This can result in performance loss in
20 some workloads.

1 Accordingly, it would be advantageous to provide an improved technique for
2 the error checking and correction of data storage systems. This is achieved in an
3 embodiment of the invention that is not subject to the drawbacks of the related art.

4

5 Summary of the Invention

6

7 The invention provides an improved method and apparatus for a reliable data
8 storage system using block level checksums appended to data blocks.

9

10 In a first aspect of the invention, a block-appended checksum is created at the
11 filesystem block level, where a block is the filesystem's unit of transfer. In a preferred
12 embodiment, the data storage system is a RAID system composed of multiple hard disk
13 drives, including a parity disk drive and a controller for the drives. Files are stored on hard
14 disks in storage blocks, including data blocks and block-appended checksums. The block-
15 appended checksum includes a checksum of the data block, a Virtual Block Number (VBN),
16 a Disk Block Number (DBN), and an embedded checksum for checking the integrity of the
17 block-appended checksum itself. The block-appended checksum reliably detects corruption
18 of data within a sector such as bit flips, as does a sector checksum. However, a block-
19 appended checksum also reliably detects data corruption across sectors including sector
20 slides, misdirected reads and writes, and lost sector I/O.

1 The combination of (1) parity bits across the RAID system stored on the parity
2 disk, (2) the remaining uncorrupted data in the data disks, and (3) block-appended checksum
3 within each disk includes sufficient information so as to enable detection and restoration of
4 corrupt data in RAID systems and other similar devices. Such a combination is preferable to
5 using block-appended checksums alone because block-appended checksums are limited to
6 detecting errors.

7

In a second aspect of the invention, a file system includes file blocks with block-appended checksum to the data blocks. The file blocks with block-checksums are written to storage blocks. In a preferred embodiment a collection of file blocks are formatted with 520 bytes of data per sector instead of the more commonly used 512 bytes per sector. For each 4,096-byte file block, a corresponding 64-byte block-checksum is appended to the file block. When this is written to disk, the first 7 sectors include most of the file block data while the 8th sector includes the remaining file data and the 64-byte block-appended checksum for a total of 4,160-bytes of data.

16 Because the block-appended checksums are appended to the file blocks, every read or write
17 to a storage block includes the reading or writing of the file block and the block-appended
18 checksum in a single operation. In known cases, this results in greatly improved
19 performance.

20

1 In a third aspect of the invention, I/O operations are first stored in NVRAM
2 (non-volatile random access memory). In the event of a system crash, I/O operations are
3 replayed from NVRAM, which preserves file block data. When the I/O operation is
4 performed again, the corresponding block-appended checksum information is simply
5 recalculated.

6

7 In a preferred embodiment, the invention is operative on a RAID level 4
8 system for a file server. However, in other embodiments, the invention is applicable to any
9 computer data storage system such as a database system or a store and forward system such
10 as cache or RAM.

11

12 Brief Description of the Drawings

13

14 Figure 1 shows a block diagram of a reliable, redundant data storage system
15 including block-appended checksum.

16

17 Figure 2 shows a flow diagram of a method for writing file blocks with block-
18 appended checksums to a reliable, redundant data storage system.

19

20 Figure 3 shows a flow diagram of a method for reading data from data storage

1 blocks including file blocks with block-appended checksums in a reliable, redundant data
2 storage system.

3

4 Detailed Description of the Preferred Embodiment

5

6 In the following description, a preferred embodiment of the invention is
7 described with regard to preferred process steps and structures. Those skilled in the art
8 would recognize after perusal of this application that embodiments of the invention can be
9 implemented using elements adapted to particular process steps and structures described
10 herein, and that implementation of the process steps and structures described herein would
11 not require undue experimentation or further invention.

12 Incorporated Disclosures

13

14

15 The inventions described herein can be used in conjunction with inventions
16 described in the following applications:

17

18 • Application Serial Number 09/642,063, in the name of Blake LEWIS, Ray CHEN and
19 Kayuri PATEL, filed on August 18, 2000, Express Mailing Number
20 EL524781089US, titled "Reserving File System Blocks", assigned to the same

1 assignee, attorney docket number 103.1033.01, and all pending cases claiming the
2 priority thereof.

3

4 • U.S. Patent Application Serial No. 09/642,062, in the name of Rajesh SUNDARAM,
5 Srinivasan VISWANATHAN, Alan ROWE, Steven R. KLEIMAN and John
6 EDWARDS filed August 18, 2000, Express Mail Mailing No. EL524780242US,
7 titled "Dynamic Data Space", assigned to the same assignee, filed August 18, 2000,
8 attorney docket number 103.1034.01, and all pending cases claiming the priority
9 thereof.

10

11 • Application Serial Number 09/642,066, in the name of Ray CHEN, John EDWARDS
12 and Kayuri PATEL filed on August 18. 2000, Express Mailing Number
13 EL524780256US, titled "Manipulation of Zombie Files and Evil-Twin Files",
14 assigned to the same assignee, attorney docket number 103.1047.01, and all pending
15 cases claiming the priority thereof.

16

17 • Application Serial Number 090/642,065, in the name of Doug DOUCETTE, Blake
18 LEWIS and John EDWARDS, filed August 18, 2000, Express Mailing Number
19 EL524781092US, titled "Improved Space Allocation in a Write Anywhere File
20 System", assigned to the same assignee, attorney docket number 103.1045.01, and all

1 pending cases claiming the priority thereof.

2 • Application Serial Number 09/642,061, in the name of Blake LEWIS, John
3 EDWARDS, and Srinivasan VISWANATHAN, filed on August 18, 2000, Express
4 Mailing Number EL524780239US, titled "Instant Snapshot", assigned to the same
5 assignee, attorney docket number 103.1035.01, and all pending cases claiming the
6 priority thereof.

7

8 • Application Serial Number 09/642,064, in the names of Scott SCHOENTHAL, filed
9 August 18, 2000, Express Mailing Number EL524781075US, titled "Persistent and
10 Reliable Delivery of Event Messages", assigned to the same assignee, attorney docket
11 number 103.1048.01, and all pending cases claiming the priority thereof.

12

13 *Lexicography*

14

15 As used herein, use of the following terms refer or relate to aspects of the

16 invention as described below. The general meaning of these terms is intended to be illusory
17 and in no way limiting.

18

19 • **Sector** – In general, the term "sector" refers to a physical section of a disk drive
20 including a collection of bytes, such as 512 or 520 bytes. This is the disk drive's

1 minimal unit of transfer.

2 • **Storage block** – In general, the term “storage block” refers to a group of sectors, such
3 as 8 sectors or 4,096 bytes for 512 byte sectors or 4,160 bytes for 520 byte sectors.

4

5 • **Data block** – In general, the term “data block” refers to a collection of bytes stored in
6 a storage block, such as 4,096 bytes with 512-byte sectors or 4,160 bytes with 520-
7 byte sectors each stored in 8 sectors.

8

9 • **Block-appended checksum** – In general, the term “block-appended checksum” refers
10 to a collection of bytes, such as 64 bytes, which may include a checksum of a data
11 block, a Virtual Block Number (VBN), a Disk Block Number (DBN), and an
12 embedded checksum for checking the integrity of checksum information itself.

13

14 • **Stripe** – In general, the term “stripe” refers to the collection of blocks in a volume
15 with the same DBN on each disk.

16

17 • **Volume** – In general, the term “volume” refers to a single file system spread across
18 multiple disks and associated disk drives. Known data storage systems have current
19 size limits, such as greater than one terabyte and are included in multiple volumes.

DBN (Disk Block Number) – In general, the term “DBN” refers to a location of a particular block on a disk in a volume of a file system.

VBN (Volume Block Number) – In general, the term “VBN” refers to an integer that maps to a disk number and disk block number.

WAFL (Write Anywhere File Layout) – In general, a high level structure for a file system that is above RAID in hierarchy and includes metadata, such as one or more copies of the “fsinfo block” (file system information block) located at fixed locations on disk. Pointers are used for locating the remaining data. All the data except the fsinfo blocks are collected into files and these files can be written anywhere on the disk.

Parity checking – In general, the term “parity checking” refers to an error detection technique that tests the integrity of digital data within a computer system or over a network. Parity bits are checked by comparing them against computed values of parity, which are the XOR of the sets of data bits.

Parity disk – In general, the term “parity disk” refers to a separate disk drive that holds parity bits in a disk array, such as four data disks and one parity disk in a

1 volume of a data storage system.

2 • **Parity protected** – In general, the term “parity protected” refers to protection of a
3 collection of data using parity bits. Data is parity protected if it has parity for an
4 entire collection of data. In a preferred embodiment, parity computations can be
5 made across bytes.

6

7 • **Checksum** – In general, the term “checksum” refers to a value used to ensure data is
8 stored or transmitted without error. This value is created by calculating the binary
9 values in a block of data using some algorithm and storing the results with the data or
10 at a separate location. When the data is retrieved from memory, received at the other
11 end of a network, or retrieved from a computer storage system, a new checksum is
12 computed and matched against the existing checksum. A mismatch indicates an error.

13

14 As described herein, the scope and spirit of the invention is not limited to any
15 of the definitions or specific examples shown therein, but is intended to include the most
16 general concepts embodied by these and other terms.

17

18 *System Elements*

19

20 Figure 1 shows a block diagram of a reliable, redundant data storage system

1 including block-appended checksums.

2 A data storage system 100 includes a controller CPU (central processing unit)

3 105, an I/O port 110, a file system 115, a RAID system 125, a disk driver 135, a host/disk

4 adapter 145, a hard disk collection 150, including drive 155, drive 160, drive 165, drive 170

5 and parity drive 175.

6

7 A data storage system 100 is part of a larger computer system. The I/O port

8 110 is connected to the larger computer system in such a way that the controller CPU 105

9 can send data to and from the I/O port 110. The data is written to and read from the hard

10 disk collection 150, including a parity disk 175 in a data storage system 100.

12 Unlike other parity systems that may require breaking up the bytes in a block

13 of data or breaking up the block of data itself, each bit in the parity block is computed using

14 the corresponding bits in the data blocks. Thus, if there are four blocks of data, one block

15 would be put on a first drive 155, the second block would be put on drive 160, the third

16 block would be put on drive 165 and the fourth block on drive 170. The parity block is

17 computed using an XOR of the data blocks.

19 In a preferred embodiment, the five disk drives 155, 160, 165, 170 and 175 in a

20 RAID system 125 include one or more volumes. A volume is a single file system in a data

1 storage system. Each block has a unique VBN (volume block number) and DBN (disk block
2 number). The VBN specifies the location of a block in a volume. The DBN specifies the
3 location of a block in a disk. Therefore, more than one block can have the same DBN if they
4 are in the same location on different disks. However, only one block can have a given VBN.

5

6 Known data storage systems format hard disks with 512-bytes per sectors.

7 Prior art systems with checksums may include disks formatted with 520-byte sectors

8 comprising 512-bytes of file block data and 8-bytes for a sector checksum. In a preferred
9 embodiment, each disk in a hard disk collection 150 is formatted with 520-bytes per sector.

10 Files are broken into fixed sizes of data known as file blocks. These file blocks are stored in

11 on one or more physical sectors of one or more hard disks such as 4,096-bytes that take up 8

12 sectors. With a hard disk formatted to 512-byte sectors, the file block fits into 8 sectors with

13 no extra bytes remaining. With a hard disk formatted for 520-bytes per sector, the 4,096-

14 byte_file block fits into the 8 sectors with 64 bytes free for a block-appended checksum. The

15 first 7 sectors contain only file block data while the 8th sector includes the remaining file

16 block data and ends with the 64-byte block-appended checksum. This 520-bytes per sector

17 formatting approach allows the file block and checksum to be written or read in a single

18 operation. The resulting block-appended checksum has an advantage over the prior art

19 sector checksums in a 520-byte formatted hard disk because it can reliably detect sector data

1 corruption such as sector slides, misdirected reads and writes, lost sectors and similar
2 defects.

3 In a preferred embodiment, a series of software and hardware layers is required
4 for reading and writing data between the CPU 105 and the hard disk collection 150. A file
5 system 115 takes a relatively large data file and divides it into a group of file blocks of a
6 given size such as 4,096-bytes. A RAID system stripes these file blocks across a collection
7 of hard disks such as a hard disk collection 150 including four data disks, disk 1 155, disk 2
8 160, disk 3 165 and disk 4 170 plus a parity disk 175 that provides redundancy for the data
9 High performance is accomplished using the RAID system by breaking the group of file
10 blocks into four sub groups and striping these sub groups of blocks in parallel across the data
11 disks. Each file block in a RAID system receives a block-appended checksum of a given
12 size such as 64-bytes. The block-appended checksum is appended to the file block to
13 produce a file block with a block-appended checksum of 4,160-bytes. The block-appended
14 checksum information includes at least: a 4-byte checksum of the data block; a Virtual Block
15 Number (VBN); a Disk Block Number (DBN); and a 4-byte embedded checksum for
16 checking the integrity of the block appended checksum itself. Other embodiments may use
17 other formats of data and algorithms other than Adler's. A sector checksum and a block-
18 appended checksum reliably detect bit flips. However, only a block-appended checksum
19 reliably detects sector data corruption including sector slides, misdirected reads and writes,
20 and lost sectors.

1

2 In a preferred embodiment, the file system 115 allocates a collection of 4,096-

3 byte buffers for each file block when writing a stripe of blocks to the hard disk collection

4 150. Each file block has the same DBN in a stripe provided the hard disk collection 150 is

5 composed of equal sizes of hard disks. Each 4,096-byte file block 120 is written to a 4,096-

6 byte buffer and sent to RAID 125. In RAID 125, each 4,096-byte buffer is appended with

7 64-bytes for a total block size of 4,160 bytes to accommodate the 64-byte block-appended

8 130 checksum. The I/O operations are logged to NVRAM. If the system crashed after this

9 135 point, the file blocks can be restored upon recovery from the crash by replaying the log of

10 140 I/O operations from NVRAM. Each 4,096-byte file block plus 64-byte checksum 140 is sent

11 145 to the disk driver 135. The disk driver 135 creates a scatter/gather list that provides

12 150 instructions where host/disk adapter 145 should distribute each file block plus 64-byte

13 155 checksum 140. The collection of buffers and the scatter/gather list are sent to the host/disk

14 160 adapter 145. The host/disk adapter 145 then writes the stripe of file blocks with the block-

15 165 appended checksums to the hard disk collection 150 including the four hard disks, disk 1

16 170 disk 2 160, disk 3 165, disk 4 170. The parity data is created from the stripe of file

17 175 blocks and it is written onto the parity disk 175. A file block with block-appended checksum

18 180 is written to a storage block on disk 1 155 that is composed of 8 sectors of the disk.

19 185 There is a single operation for writing the file block with appended checksum. The file

20 190 block data fills all 8 sectors with space remaining in the last part of the last sector to hold the

1 block-appended checksum. When a file is read, each file block and associated block-
2 appended checksum is also done as a single operation. The stored block-appended checksum
3 is compared with a computed block-appended checksum to validate the data. If the stored
4 and computed block-appended checksums are not equivalent, the data has been corrupted
5 and must be rebuilt using the remaining hard disks including the parity disk 175 in the hard
6 disk collection 150.

7

8 *Method of Use*

9

10 Figure 2 shows a flow diagram of a method for writing file blocks with block-
11 appended checksums to a reliable, redundant data storage system.

12

13 A method 200 is performed by the data storage system 100. Although the
14 method 200 is described serially, the steps of the method 200 can be performed by separate
15 elements in conjunction or in parallel, whether asynchronously, in a pipelined manner, or
16 otherwise. There is no particular requirement that the method 200 be performed in the same
17 order in which this description lists the steps, except where so indicated.

18

19 At a flow point 205, the data storage system 100 is ready to perform the
20 method 200 to a file system 115 including writing file blocks and block-appended

1 checksums. In the preferred embodiment the write method 200 requires formatting hard
2 disks to 520 byte sectors.

3

4 At a step 210, the data storage system 100 receives a request from the user to
5 write a file block to the file system 115.

6

7 At a step 215, the data storage system 100 allocates and fills a 4,096-byte
8 buffer with a file block.

9

10 At a step 220, the data storage system 100 sends the filled 4,096-byte buffer to
11 RAID 125.

12

13 At a step 225, the data storage system 100 allocates a 64-byte buffer in RAID
14 125.

15

16 At a flow point 230, the data storage system 100 computes a block-appended
17 checksum for the 4,096-byte file block in the 4,096-byte buffer, fills the 64-byte buffer with
18 the block-appended checksum and appends the 64-byte buffer to the 4,096-byte buffer.

19

20 At step point 235, the data storage system 100 sends the 4,096-byte file block

1 buffer including the 64-byte block-appended checksum buffer to the disk driver 135.

2 At a step point 240, the data storage system 100 creates a scatter/gather list
3 using the disk driver 135 to distribute the 4,096-byte file block with appended checksum to a
4 group of sectors making up a storage block on one or more of the disks in the hard disk
5 collection 150.

6

7 At a step 245, the data storage system 100 sends the 4,096-byte buffer,
8 including the appended 64-byte buffer and the scatter/gather list to the host/disk adapter 145.

9

10 At a step 250, the data storage system 100 writes the file block with the block-
11 appended checksum to a storage block in a single operation.

12

13 At a step 255, the data storage system 100 completes writing to one or more of
14 the hard disks in the hard disk collection 150.

15 At a step 260, the data storage system 100 frees up the 64-byte buffer in RAID
16 125.

17

18 At a flow point 265, the data storage system 100 has succeeded or failed at
19 writing a file to the file system.

20

1 Figure 3 shows a flow diagram of a method for reading data from data storage
2 blocks including file blocks with block-appended checksums in a reliable, redundant data
3 storage system.

4

5 A read method 300 is performed by the data storage system 100. Although the
6 read method 300 is described serially, the steps of the read method 300 can be performed by
7 separate elements in conjunction or in parallel, whether asynchronously, in a pipelined
8 manner, or otherwise. There is no particular requirement that the read method 300 be
9 performed in the same order, in which this description lists the steps, except where so
10 indicated.

11

12 At a flow point 305, the data storage system 100 is ready for requests to read
13 file blocks from a file system 115, including reading file blocks and block-appended
14 checksums.

15 At a step 310, the data storage system 100 receives a request from the user to
16 read a file block to the file system 115.

17

18 At a step 315, the data storage system 100 allocates a 4,096-byte buffer for a
19 file block.

1 At a step 320, the data storage system 100 sends the empty 4,096-byte buffer to

2 RAID 125.

3

4 At a step 325, the data storage system 100 allocates a 64-byte buffer in RAID

5 125 and appends it to the 4,096-byte buffer.

6

7 At a flow point 330, the data storage system 100 sends the 4,096-byte file

8 block buffer with the 64-byte block-appended checksum buffer to the disk driver 135.

9

10 At a step point 335, the data storage system 100 creates a scatter/gather list in

11 the disk driver 135 to collect the 4,096-byte file block from a group of sectors making up a

12 storage block on one or more of the disks in the hard disk collection 150.

13

14 At a step 340, the data storage system 100 sends the 4,096-byte buffer with the

15 appended 64-byte buffer along with the scatter/gather list to the host/disk adapter 145.

16

17 At a step 345, the data storage system 100 reads the file block with the block-

18 appended checksum from a storage block in a single operation.

19

20 At a step 350, the data storage system 100 completes reading the file block

1 with the block-appended checksum from one or more of the hard disks collection 150.

2 At a step 355, the data storage system 100 computes the block-appended
3 checksum for the file block and compares it to the appended block-appended checksum to
4 verify the file block.

5

6 At a step 360, the data storage system 100 frees up the 64-byte buffer in RAID
7 125.

8
9
10 At a flow point 365, the data storage system 100 has succeeded or failed at
11 reading a file block from the file system 115.

12 *Alternative Embodiments*

13
14 Although preferred embodiments are disclosed herein, many variations
15 are possible which remain within the concept, scope, and spirit of the invention, and these
16 variations would become clear to those skilled in the art after perusal of this application.

1 Claims
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1. An apparatus including a mass storage device, said mass storage device

having a plurality of sectors, said apparatus including

a plurality of storage blocks, each said storage block including a plurality of

said sectors;

wherein each said storage block includes a data portion and an error code

portion;

wherein said data portion is responsive to data for said data block; and

wherein said error code portion is responsive to data for a plurality of said

sectors in each said storage block.

2. An apparatus as in claim 1, wherein said mass storage device includes

one or more hard disks.

3. An apparatus as in claim 1, wherein said mass storage device includes a

RAID storage device.

4. An apparatus as in claim 3, wherein said RAID storage device is a

RAID level 4 device.

1 5. An apparatus as in claim 1, wherein said error code portion is appended
2 to said data portion.

3

4 6. An apparatus as in claim 1, wherein said error code portion includes a
5 checksum of the said data for said data block.

6

7 7. An apparatus as in claim 6, wherein said checksum of said data for said
8 data block includes 4-bytes of checksum data.

9

10 8. An apparatus as in claim 6, wherein said checksum is a block-appended
11 checksum.

12

13 9. An apparatus as in claim 8, wherein said block-appended checksum
14 includes a checksum of said block-appended checksum.

15

16 10. An apparatus as in claim 9, wherein said checksum of said block-
17 appended checksum includes 4-bytes of data.

18

19 11. An apparatus as in claim 1, wherein said mass storage device includes a
20 cache or RAM.

1 12. An apparatus as in claim 1, wherein said mass storage device includes
2 one or more hard disks formatted with 520-bytes per sector.

3
4 13. An apparatus as in claim 1, wherein said plurality of said sectors is eight
5 sectors.

6
7 14. An apparatus as in claim 1, wherein said error code portion includes 64-
8 bytes of error code data.

9
10 15. An apparatus as in claim 1, wherein said data portion includes 4,096-
11 bytes of data.

12
13 16. An apparatus as in claim 1, wherein said sectors include 520-bytes of
14 data storage.

15
16 17. An apparatus as in claim 1, wherein said storage block includes 4,160-
17 bytes of data and error code storage space.

18
19 18. An apparatus for protecting a mass storage device from data storage
20 errors, said mass storage device having a plurality of sectors, said apparatus including

1 a plurality of storage blocks, each said storage block including a plurality of
2 said sectors;

3 wherein a first subset of each said storage block is responsive to data for said
4 storage block;

5 wherein a second subset of each said storage blocks is responsive to error code
6 information; and

7 wherein said error code information is responsive to data for a plurality of said
8 sectors in each said storage block.

9
10 19. An apparatus as in claim 18, wherein said mass storage device includes
11 one or more hard disks.

12
13 20. An apparatus as in claim 18, wherein said mass storage device includes
14 a RAID storage system.

15
16 21. An apparatus as in claim 20, wherein said RAID storage system is a
17 RAID level 4 system.

18
19 22. An apparatus as in claim 18, wherein said second subset is appended to
20 said first subset.

1 23. An apparatus as in claim 18, wherein said error code information
2 includes a checksum of said data for said storage block.

3

4 24. An apparatus as in claim 23, wherein said checksum of said data for
5 said storage block includes 4-bytes of checksum data.

6

7 25. An apparatus as in claim 23, wherein said checksum is a block-
8 appended checksum.

9

10 26. An apparatus as in claim 25, wherein said block-appended checksum
11 includes a checksum of said block-appended checksum.

12

13 27. An apparatus as in claim 26 wherein said checksum of said block-
14 appended checksum includes 4-bytes of data.

15

16 28. An apparatus as in claim 18 wherein said mass storage device includes a
17 cache or RAM.

18

19 29. An apparatus as in claim 18 wherein said mass storage device includes
20 one or more hard disks formatted with 520-bytes per sector.

1 30. An apparatus as in claim 18, wherein said plurality of said sectors is

2 eight sectors.

3

4 31. An apparatus as in claim 18, wherein said second subset includes 64-

5 bytes of error code data.

6

7 32. An apparatus as in claim 18, wherein said first subset includes 4,096-

8 bytes of data.

9

10 33. An apparatus as in claim 18, wherein said sectors include 520-bytes of

11 data storage.

12

13 34. An apparatus as in claim 18, wherein said first and second subsets

14 together include 4,160-bytes of data and error code storage space.

15

16 35. A method for protecting data from data storage errors in a mass storage

17 system, said mass storage system having a plurality of sectors, said method including

18 determining a plurality of storage blocks, each said storage block including a

19 plurality of said sectors;

20 dividing each said storage block into a first subset and a second subset;

1 generating error code information responsive to data for a plurality of said

2 sectors in each said storage block;

3 wherein said first subset is responsive to data for said storage block; and

4 wherein said second subset is responsive to error code information.

5

6 36. A method as in claim 35, wherein said mass storage system includes

7 one or more hard disks.

8 37. A method as in claim 35, wherein said mass storage system includes a

9 RAID storage system.

10 38. A method as in claim 37, wherein said RAID storage system is a RAID

11 level 4 system.

12 39. A method as in claim 35, wherein said second subset is appended to said

13 first subset.

14 40. A method as in claim 35, wherein said error code information includes a

15 checksum of the said data for said storage block.

1 41. A method as in claim 40, wherein said checksum of said data for said
2 storage block includes 4-bytes of checksum data.

3

4 42. A method as in claim 40, wherein said checksum is a block-appended
5 checksum.

6

7 43. A method as in claim 42, wherein said block-appended checksum
8 includes a checksum of said block-appended checksum.

9

10 44. A method as in claim 43, wherein said checksum of said block-
11 appended checksum includes 4-bytes of data.

12

13 45. A method as in claim 35, wherein said mass storage system includes a
14 cache or RAM.

15

16 46. A method as in claim 35, wherein said mass storage system includes
17 one or more hard disks formatted with 520-bytes per sector.

18

19 47. A method as in claim 35, wherein said plurality of said sectors is eight
20 sectors.

1 48. A method as in claim 35, wherein said second subset includes 64-bytes
2 of error code data.

3

4 49. A method as in claim 35, wherein said first subset includes 4,096-bytes
5 of data.

6

7 50. A method as in claim 35, wherein said sectors include 520-bytes of data
8 storage.

9

10 51. A method as in claim 35, wherein said first and second subsets together
11 include 4,160-bytes of data and error code storage space.

12

13 52. A method for efficiently detecting data errors in a mass storage system,
14 said mass storage system having a plurality of storage blocks composed of a collection of
15 sectors, including

16 reading data and error code information located in said storage blocks in a
17 single operation;

18 calculating run-time error code information for said data located in storage
19 blocks; and

20 comparing said error code information with said run-time error code

1 information.

2

3 53. A method as in claim 52, wherein said mass storage system includes one
4 or more hard disks.

5

6 54. A method as in claim 52, wherein said mass storage system includes a
7 RAID storage system.

8

9 55. A method as in claim 52, wherein said RAID system is a RAID level 4
10 system.

11

12 56. A method as in claim 52, wherein said error code information is
13 appended to said reading data.

14

15 57. A method as in claim 52, wherein said error code information includes a
16 checksum of the said reading data.

17

18 58. A method as in claim 57, wherein said checksum of said reading data
19 includes 4-bytes of checksum data.

20

1 59. A method as in claim 58, wherein said checksum is a block-appended
2 checksum.

3
4 60. A method as in claim 59, wherein said block-appended checksum
5 includes a checksum of said block-appended checksum.

6
7 61. A method as in claim 60, wherein said checksum of said block-
8 appended checksum includes 4-bytes of data.

9
10 62. A method as in claim 52, wherein said mass storage system includes a
11 cache or RAM.

12
13 63. A method as in claim 52, wherein said mass storage system includes
14 one or more hard disks formatted with 520-bytes per sector.

15
16 64. A method as in claim 52, wherein said collection of sectors is eight
17 sectors.

18
19 65. A method as in claim 52, wherein said error code information includes
20 64-bytes of error code data.

1 66. A method as in claim 52, wherein said reading data includes 4,096-bytes
2 of data.

3

4 67. A method as in claim 52, wherein said sectors include 520-bytes of data
5 storage.

6

7 68. A method as in claim 52, wherein said reading data and error code

8 information together includes 4,160-bytes of data and error code storage space.

9

10 69. A method as in claim 52, including determining whether said run-time
11 error code information and said error code information in said storage blocks are equivalent.

12

13 70. A method as in claim 52, including alerting said mass storage system if
14 said run-time error code information and said error code information in said storage blocks
15 are not equivalent.

16

17 71. A method as in claim 52, including retrieving said reading data if said
18 run-time error code information and said error code information in said storage blocks are
19 equivalent.

1 Abstract of the Disclosure
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A method and apparatus for a reliable data storage system using block level checksums appended to data blocks. Files are stored on hard disks in storage blocks, including data blocks and block-appended checksums. The block-appended checksum includes a checksum of the data block, a VBN, a DBN, and an embedded checksum for checking the integrity of the block-appended checksum itself. A file system includes file blocks with associated block-appended checksum to the data blocks. The file blocks with block-appended checksums are written to storage blocks. In a preferred embodiment a collection of disk drives are formatted with 520 bytes of data per sector. For each 4,096-byte file block, a corresponding 64-byte block-appended checksum is appended to the file block with the first 7 sectors including most of the file block data while the 8th sector includes the remaining file block data and the 64-byte block-appended checksum.

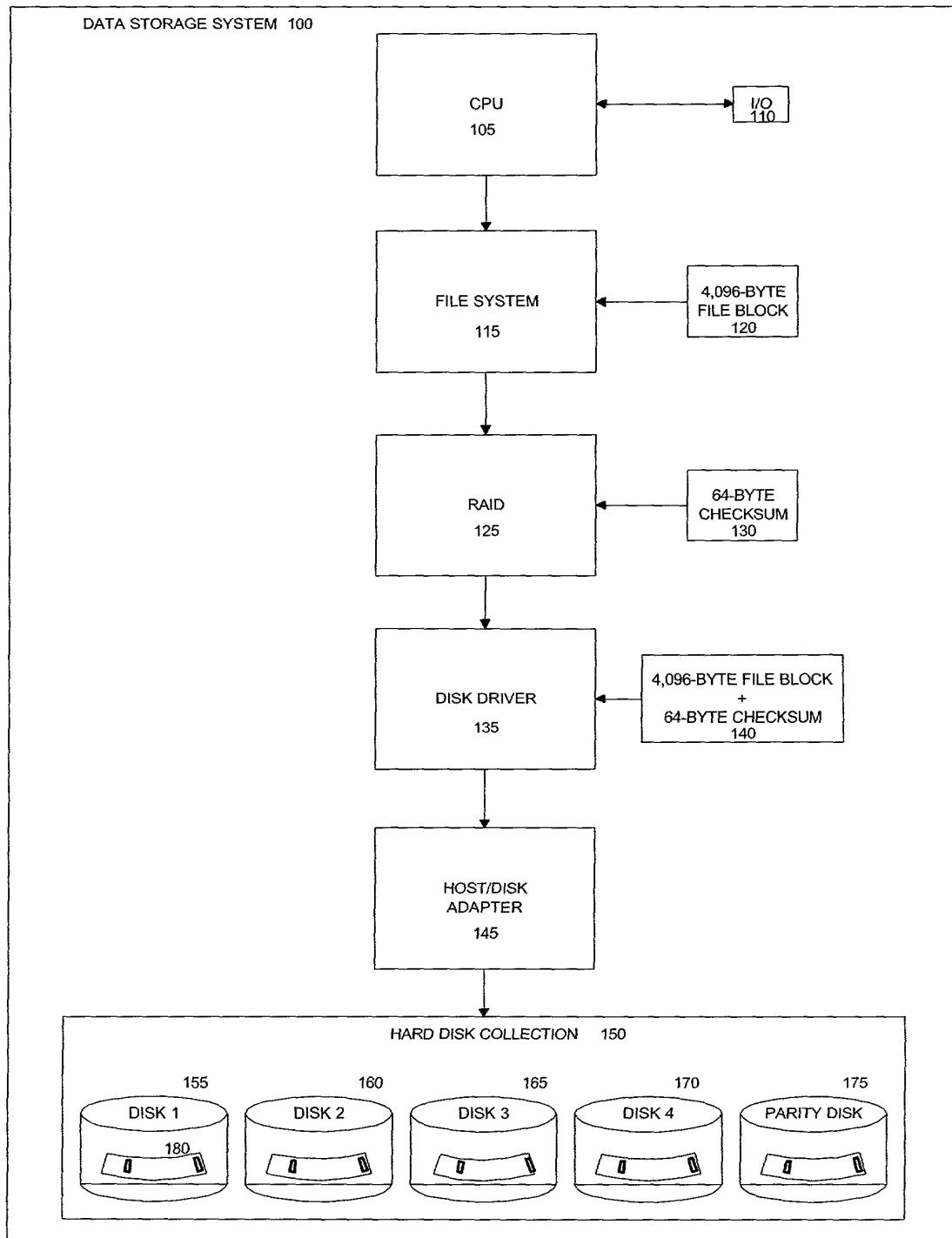


FIGURE 1

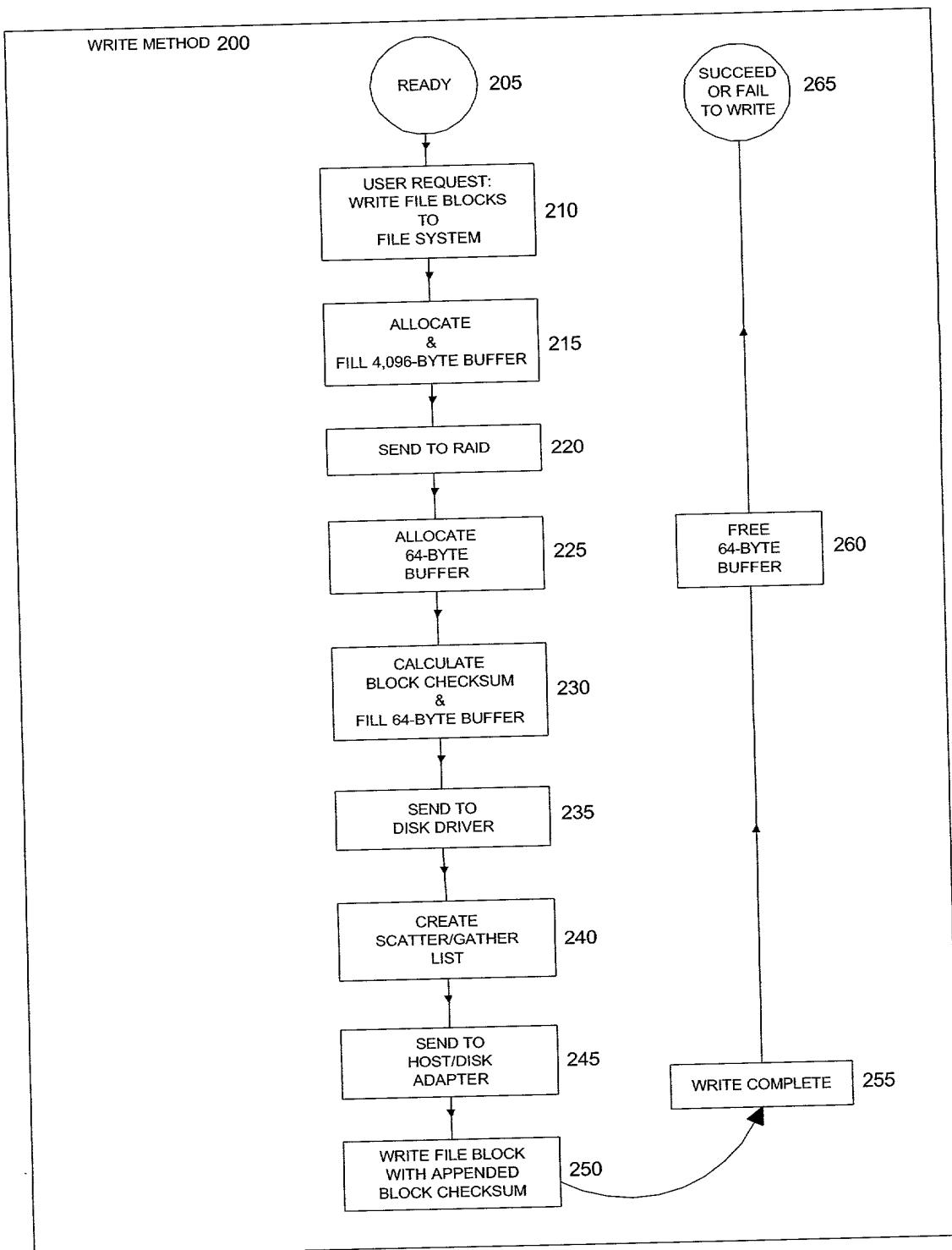


FIGURE 2

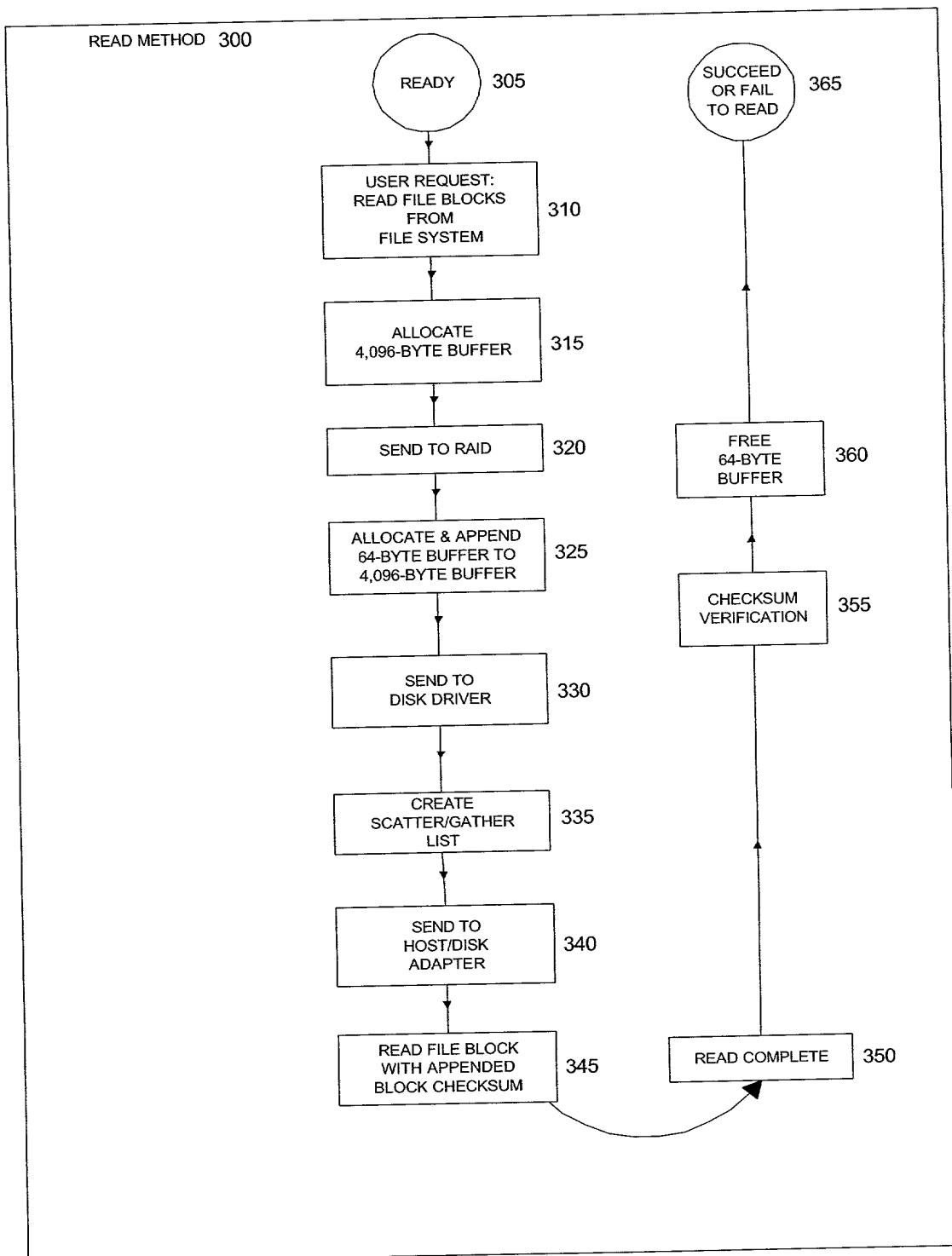


FIGURE 3